

## SARDAR PATEL UNIVERSITY

<b>B.COM. (BUSINESS STUDIES) SEMESTER-I</b>		
<b>Course Code</b> UB01DCOM74	<b>Course Title</b> Financial Market Operations	<b>Total Credit</b> 3
<b>Course Objectives</b>	To familiarize the students with principles and practices of financial management.	

<b>Course Description</b>		
<b>Unit</b>	<b>Description</b>	<b>Weightage</b>
<b>1.</b>	<b>An overview of Indian Securities Market</b> Meaning, Functions, Intermediaries, Role of Primary Market – Methods of Floatation of capital – Problems of New issues market – IOP's – Investor protection in primary market – Recent trends in primary market – SEBI measures for primary market	<b>25%</b>
<b>2.</b>	<b>Listing of Securities</b> Meaning – Merit and Demerits – Listing requirement, procedure, fee – Listing of right issue, bonus issue, further issue – Listing conditions of BSE and NSE- Delisting.	<b>25%</b>
<b>3.</b>	<b>Secondary Market</b> Meaning, Nature, Functions of Secondary Market – Organisation and Regulatory framework for stock exchange in India – Defects in working of Indian stock exchanges – SEBI measures for secondary market – overview of major stock exchange in India.	<b>25%</b>
<b>4.</b>	<b>Indian Stock Exchanges</b> BSE – Different trading systems – shares groups on BSE – BOLT system – Different types of settlements – Pay-in and Pay-out – Bad Delivery – Short Delivery – Auction – NSE – Market segments – NEAT system options – Market types, order types and books – De-mat settlement – Physical settlement – Institutional segment – Fund settlement – Valuation debit – Valuation price – Bad and short delivery – Auction.	<b>25%</b>

\*Units will have the same Weightage in the evaluation as suggested in the course outline.

<b>Teaching-Learning Methodology</b>	<ul style="list-style-type: none"> <li>• Lecture Method</li> <li>• Online Lectures</li> <li>• Group Discussion</li> <li>• Practical Problem Solving</li> </ul>
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<b>Evaluation Pattern</b>		
<b>Sr.No.</b>	<b>Details of the Evaluation</b>	<b>Weightage</b>
<b>1.</b>	Internal/Written Examination	<b>15%</b>
<b>2.</b>	Internal Continuous Assessment in the form of Practical , Viva-Voce, Quizzes, Seminars, Assignments, Attendance	<b>15%</b>
<b>3.</b>	University Examination	<b>70%</b>

\* Students will have to score a minimum of 40 (Forty) Percent to pass the course.

<b>Course Outcomes: Having Completed this course, the students will be able to</b>	
<b>1.</b>	Understand the structure, constituents and weaknesses of the Indian Financial System.
<b>2.</b>	Understand about Indian Money Market and also learn about Capital Market instruments and their uses in the business.
<b>3.</b>	The student can learn the structure ,functions and limitations of Indian Stock exchanges and also get concept of NSE, BSE and OTCEI.

<b>Suggested References:</b>	
<b>Sr. No</b>	<b>References</b>
<b>1.</b>	V.A. Avadhani, Investment and Securities Market in India, Himalaya Publishing House
<b>2.</b>	Prasanna Chandra, Security Analysis and Portfolio Management, Tata McGraw-Hill
<b>3.</b>	Sanjeev Agarwal, A Guide to Indian Capital Market, Bharat Publishers
<b>4.</b>	Ravi Puliani and Mahesh Puliani, Manual of SEBI, Bharat Publication
<b>On-Line Resources available that can be used as Reference Material</b>	
<a href="https://ugcmoocs.inflibnet.ac.in/view_module_ug.php/235">https://ugcmoocs.inflibnet.ac.in/view_module_ug.php/235</a>	