

SARDAR PATEL UNIVERSITY
B.Com. Semester: I
Syllabus with Effect From: June-2019

Paper Code: UB01DCOM54	Total Credit: 3
Title Of Paper: Financial Market Operations	

Objective: To familiarize the students with principles and practices of financial management

Unit	Description of Detail	Weighting(%)
I	An overview of Indian Securities Market Meaning, Functions, Intermediaries, Role of Primary Market – Methods of Floatation of capital – Problems of New issues market – IOP's – Investor protection in primary market – Recent trends in primary market – SEBI measures for primary market	25%
II	Listing of Securities Meaning – Merit and Demerits – Listing requirement, procedure, fee – Listing of right issue, bonus issue, further issue – Listing conditions of BSE and NSE-Delisting.	25%
III	Secondary Market Meaning, Nature, Functions of Secondary Market – Organisation and Regulatory framework for stock exchange in India – Defects in working of Indian stock exchanges – SEBI measures for secondary market – overview of major stock exchange in India.	25%
IV	Indian Stock Exchanges BSE – Different trading systems – shares groups on BSE – BOLT system – Different types of settlements – Pay-in and Pay-out – Bad Delivery – Short Delivery – Auction – NSE – Market segments – NEAT system options – Market types, order types and books – De-mat settlement – Physical settlement – Institutional segment – Fund settlement – Valuation debit – Valuation price – Bad and short delivery – Auction.	25%

Basic Text & Reference Books:-

- V.A. Avadhani, Investment and Securities Market in India, Himalaya Publishing House
- Prasanna Chandra, Security Analysis and Portfolio Management, Tata McGraw-Hill
- Sanjeev Agarwal, A Guide to Indian Capital Market, Bharat Publishers
- Ravi Puliani and Mahesh Puliani, Manual of SEBI, Bharat Publication